# CAY HORSTMANN RANCE D. NECAISE





# **PYTHON** FOR EVERYONE



#### Variable and Constant Definitions

Name Initial value

cansPerPack = 6

 $CAN_VOLUME = 0.335$ 

Use uppercase for constants

#### **Mathematical Functions**

abs(x) round(x) max(x1, x2, ...) min(x1, x2, ...) Absolute value |x| Rounds to nearest integer Largest of the arguments Smallest of the arguments

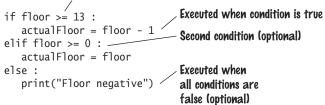
From math module:sqrt(x)Square root  $\sqrt{x}$ trunc(x)Truncates to an integersin(x), cos(x), tan(x)Sine, cosine, tangent of xdegrees(x), radians(x)Converts to degrees or radianslog(x), log(x, base)Natural log,  $log_{base}(x)$ 

#### Imports

from math import sqrt, log

#### **Conditional Statement**

#### Condition



#### **Loop Statements**

#### Condition

while balance < TARGET : year = year + 1 balance = balance \* (1 + rate / 100) A container (list, str, range, dict, set)

for value in values : sum = sum + value

#### **Function Definition**

#### **Selected Operators and Their Precedence**

(See Appendix B for the complete list.)

[]	Sequence element access
**	Raising to a power
* / // %	Multiplication, division, floor
	division, remainder
+ -	Addition, subtraction
< <= > >= != in	Comparisons and membership
not	
or >	Boolean operators
and	

#### Strings

s = "Hello"	
len(s)	The length of the string: 5
s[1]	The character with index 1: "e"
s + "!"	Concatenation: Hello!
s * 2	Replication: "HelloHello"
s.upper()	Yields "HELLO"
s.replace("e", "3")	Yields "H3110"

#### Lists

friends = [] - An empty list values = [16, 3, 2, 13]for i in range(len(values)) values[i] = i \* i friends.append("Bob") friends.insert(0, "Amy") if "Amy" in friends : n = friends.index("Amy") friends.pop(n) Removes nth else : friends.pop() - Removes last friends.remove("Bob") guests = friends + ["Lee", "Zoe"] --- Concatenation scores = [0] \* 12 ----- Replication bestFriends = friends[0 : 3] --- Slice Included Excluded total = sum(values) - List must contain numbers largest = max(values)

values.sort()

#### Tables

table = [[16, 3, 2, 13], [5, 10, 11, 8], [9, 6, 7, 12], [4, 15, 14, 1]] Number of rows

Number of columns

Use min to get the smallest

for row in range(len(table)) :
 for column in range(len(table[row])) :
 sum = sum + table[row][column]

## PYTHON FOR EVERYONE



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*For Clora, maybe* – *C.H.* 

To my parents Willard and Ella—R.N.

## PREFACE

This book is an introduction to computer programming using Python that focuses on the essentials—and on effective learning. Designed to serve a wide range of student interests and abilities, it is suitable for a first course in programming for computer scientists, engineers, and students in other disciplines. No prior programming experience is required, and only a modest amount of high school algebra is needed. For pedagogical reasons, the book uses Python 3, which is more regular than Python 2.

Here are the book's key features:

#### Present fundamentals first.

The book takes a traditional route, first stressing control structures, functions, procedural decomposition, and the built-in data structures. Objects are used when appropriate in the early chapters. Students start designing and implementing their own classes in Chapter 9.

#### Guidance and worked examples help students succeed.

Beginning programmers often ask "How do I start? Now what do I do?" Of course, an activity as complex as programming cannot be reduced to cookbook-style instructions. However, step-by-step guidance is immensely helpful for building confidence and providing an outline for the task at hand. "Problem Solving" sections stress the importance of design and planning. "How To" guides help students with common programming tasks. Numerous worked examples demonstrate how to apply chapter concepts to interesting problems.

#### Practice makes perfect.

Of course, programming students need to be able to implement nontrivial programs, but they first need to have the confidence that they can succeed. This book contains a substantial number of self-check questions at the end of each section. "Practice It" pointers suggest exercises to try after each section. A bank of quiz and test questions covering computer science concepts and programming skills is available to instructors.

#### A visual approach motivates the reader and eases navigation.

Photographs present visual analogies that explain the nature and behavior of computer concepts. Step-bystep figures illustrate complex program operations. Syntax boxes and example tables present a variety of typical and special cases in a compact format. It is easy to get the "lay of the land" by browsing the visuals, before focusing on the textual material.

## Focus on the essentials while being technically accurate.

An encyclopedic coverage is not helpful for a beginning programmer, but neither is the opposite-



*Visual features help the reader with navigation.* 

reducing the material to a list of simplistic bullet points. In this book, the essentials are presented in digestible chunks, with separate notes that go deeper into good practices or language features when the reader is ready for the additional information.

## A Tour of the Book

Figure 1 shows the dependencies between the chapters and how topics are organized. The core material of the book is:

Introduction
Programming with Numbers and Strings
Decisions
Loops
Functions
Lists
Files and Exceptions
Sets and Dictionaries

Two chapters cover object-oriented programming:

Chapter 9.	Objects and Classes
Chapter 10.	Inheritance

Two chapters support a course that goes more deeply into algorithm design and analysis:

Chapter 11. Recursion Chapter 12. Sorting and Searching

Any chapters can be incorporated into a custom print version of this text; ask your Wiley sales representative for details.

Appendices Five appendices provide a handy reference for students:

Appendix A. The Basic Latin and Latin-1 Subsets of Unicode

Appendix B. Python Operator Summary

Appendix C. Python Reserved Word Summary

Appendix D. The Python Library

Appendix E. Binary Numbers and Bit Operations

#### **Problem Solving Strategies**

Throughout the book, students will find practical, step-by-step guidance to help them devise and evaluate solutions to programming problems. Introduced where they are most relevant, these strategies address barriers to success for many students. Strategies included are:

- Algorithm Design (with pseudocode)
- First Do It By Hand (doing sample calculations by hand)
- Flowcharts
- Test Cases
- Hand-Tracing
- Storyboards
- Reusable Functions
- Stepwise Refinement

- Adapting Algorithms
- Discovering Algorithms by Manipulating Physical Objects
- Tracing Objects (identifying state and behavior)
- Patterns for Object Data
- Thinking Recursively
- Estimating the Running Time of an Algorithm

#### An Optional Graphics Library

Writing programs that create drawings can provide students with effective visualizations of complex topics. We provide a very simple graphics library that we introduce in Chapter 2. Subsequent chapters contain worked examples and exercises that use the library. This material is completely optional.

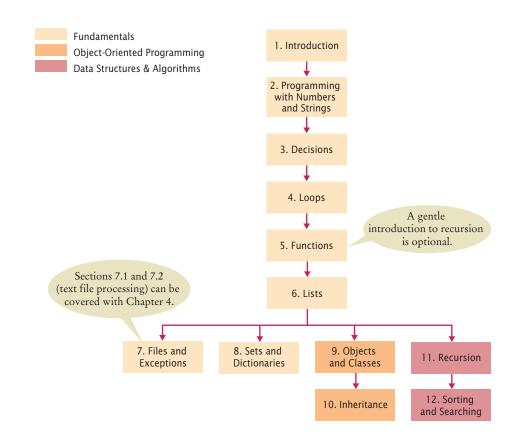
#### Exercises

End-of-chapter exercises contain a broad mix of review and programming questions, with optional questions from the domains of graphics, science, and business. Designed to engage students, the exercises illustrate the value of programming in applied fields.

#### Web Resources

This book is complemented by a complete suite of online resources. Go to www.wiley. com/college/horstmann to visit the online companion sites, which include

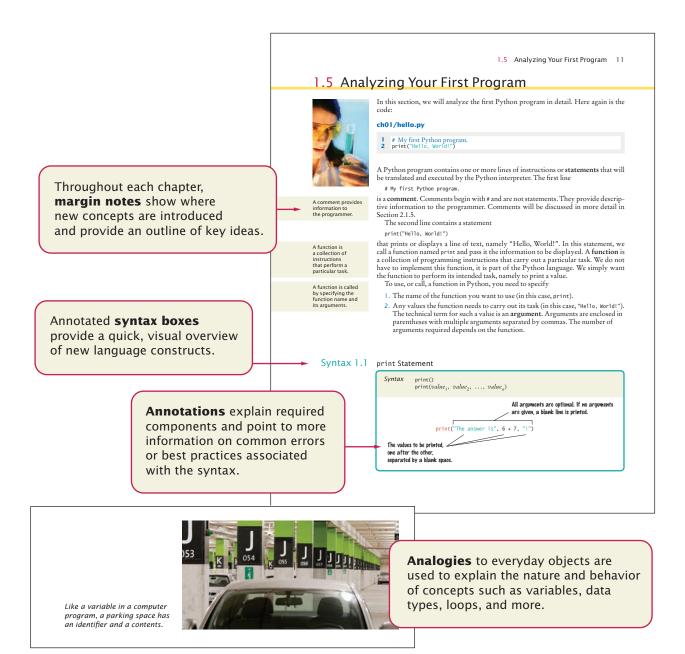
- Source code for all examples in the book.
- Lecture presentation slides (in PowerPoint format).
- Solutions to all review and programming exercises (for instructors only).
- A test bank that focuses on skills, not just terminology (for instructors only).

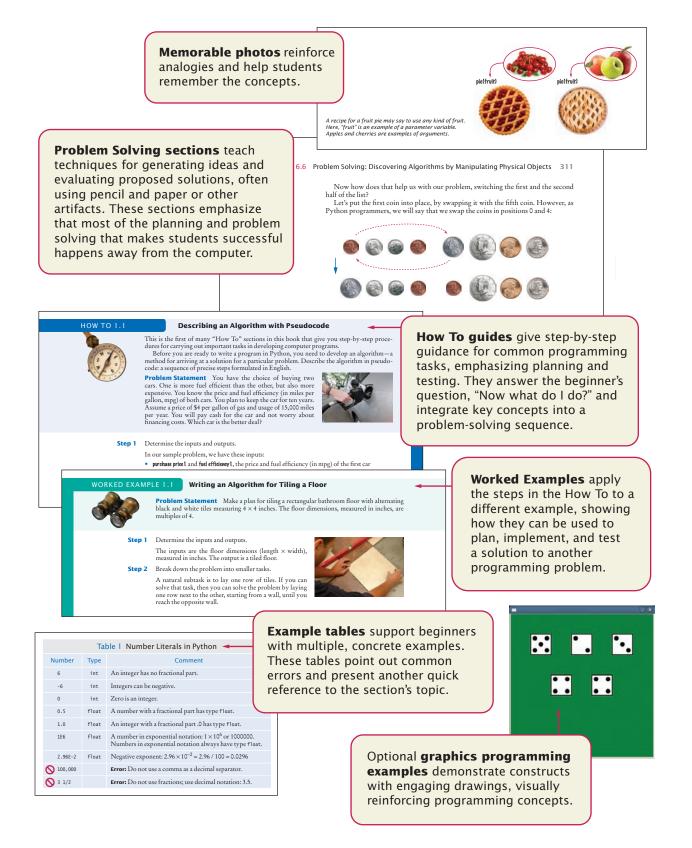


#### **Figure 1** Chapter Dependencies

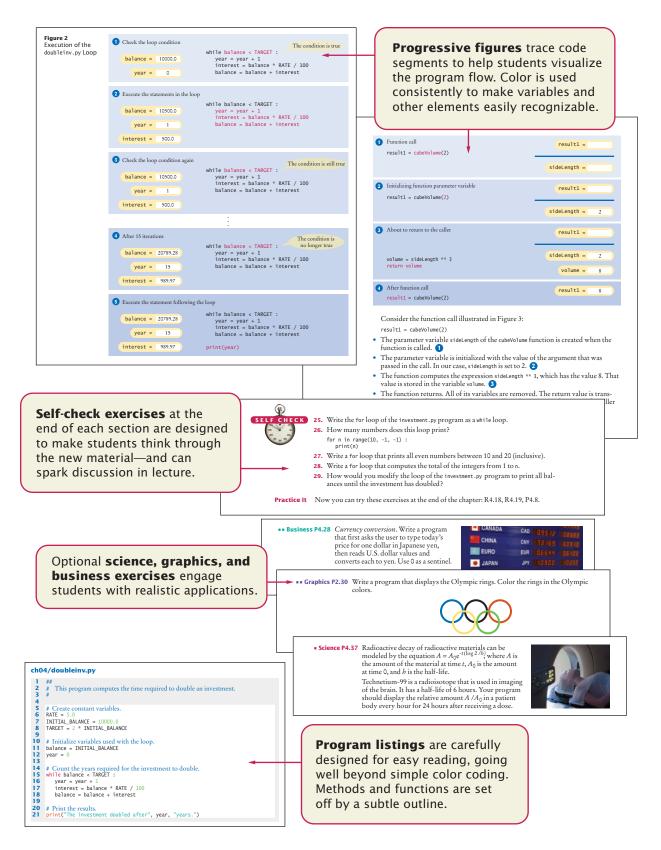
## A Walkthrough of the Learning Aids

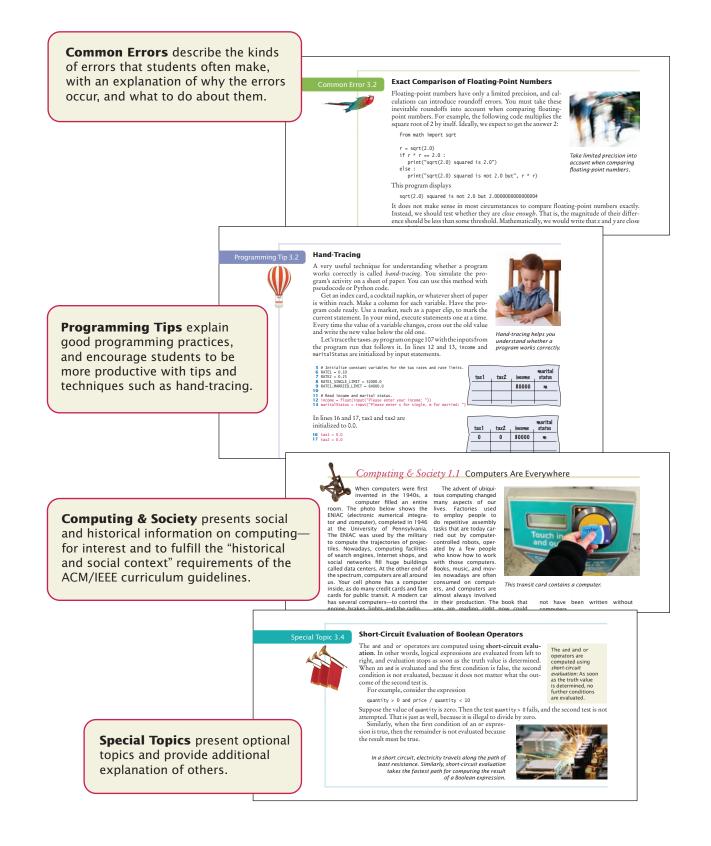
The pedagogical elements in this book work together to focus on and reinforce key concepts and fundamental principles of programming, with additional tips and detail organized to support and deepen these fundamentals. In addition to traditional features, such as chapter objectives and a wealth of exercises, each chapter contains elements geared to today's visual learner.





#### x Walkthrough





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# CHAPTER



#### CHAPTER GOALS

To learn about computers and programming To write and run your first Python program To recognize compile-time and run-time errors To describe an algorithm with pseudocode

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Just as you gather tools, study a project, and make a plan for tackling it, in this chapter you will gather up the basics you need to start learning to program. After a brief introduction to computer hardware, software, and programming in general, you will learn how to write and run your first Python program. You will also learn how to diagnose and fix programming errors, and how to use pseudocode to describe an *algorithm*—a step-by-step description of how to solve a problem—as you plan your computer programs.

## 1.1 Computer Programs

Computers execute very basic instructions in rapid succession.

A computer program is a sequence of instructions and decisions.

Programming is the act of designing and implementing computer programs.

You have probably used a computer for work or fun. Many people use computers for everyday tasks such as electronic banking or writing a term paper. Computers are good for such tasks. They can handle repetitive chores, such as totaling up numbers or placing words on a page, without getting bored or exhausted.

The flexibility of a computer is quite an amazing phenomenon. The same machine can balance your checkbook, lay out your term paper, and play a game. In contrast, other machines carry out a much narrower range of tasks; a car drives and a toaster toasts. Computers can carry out a wide range of tasks because they execute different programs, each of which directs the computer to work on a specific task.

The computer itself is a machine that stores data (numbers, words, pictures), interacts with devices (the monitor, the sound system, the printer), and executes programs. A **computer program** tells a computer, in minute detail, the sequence of steps that are needed to fulfill a task. The physical computer and peripheral devices are collectively called the **hardware**. The programs the computer executes are called the **software**.

Today's computer programs are so sophisticated that it is hard to believe that they are composed of extremely primitive instructions. A typical instruction may be one of the following:

- Put a red dot at a given screen position.
- Add up two numbers.
- If this value is negative, continue the program at a certain instruction.

The computer user has the illusion of smooth interaction because a program contains a huge number of such instructions, and because the computer can execute them at great speed.

The act of designing and implementing computer programs is called **programming**. In this book, you will learn how to program a computer — that is, how to direct the computer to execute tasks.

To write a computer game with motion and sound effects or a word processor that supports fancy fonts and pictures is a complex task that requires a team of many highly-skilled programmers. Your first programming efforts will be more mundane. The concepts and skills you learn in this book form an important foundation, and you should not be disappointed if your first programs do not rival the sophisticated software that is familiar to you. Actually, you will find that there is an immense thrill even in simple programming tasks. It is an amazing experience to see the computer precisely and quickly carry out a task that would take you hours of drudgery, to make small changes in a program that lead to immediate improvements, and to see the computer become an extension of your mental powers.



- 1. What is required to play music on a computer?
- 2. Why is a CD player less flexible than a computer?
- 3. What does a computer user need to know about programming in order to play a video game?

## 1.2 The Anatomy of a Computer

To understand the programming process, you need to have a rudimentary understanding of the building blocks that make up a computer. We will look at a personal computer. Larger computers have faster, larger, or more powerful components, but they have fundamentally the same design.

At the heart of the computer lies the **central processing unit (CPU)** (see Figure 1). The inside wiring of the CPU is enormously complicated. The CPUs used for personal computers at the time of this writing are composed of several hundred million structural elements, called *transistors*.

The CPU performs program control and data processing. That is, the CPU locates and executes the program instructions; it carries out arithmetic operations such as addition, subtraction, multiplication, and division; it fetches data from external memory or devices and places processed data into storage.

There are two kinds of storage. **Primary storage** is made from memory chips: electronic circuits that can store data, provided they are supplied with electric power. **Secondary storage**, usually a **hard disk** (see Figure 2), provides slower and less expensive storage that persists without electricity. A hard disk consists of rotating platters, which are coated with a magnetic material, and read/write heads, which can detect and change the magnetic flux on the platters.

The computer stores both data and programs. They are located in secondary storage and loaded into memory when the program starts. The program then updates the data in memory and writes the modified data back to secondary storage.

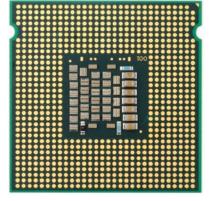


Figure 1 Central Processing Unit



Figure 2 A Hard Disk

The central processing unit (CPU) performs program control and data processing.

Storage devices include memory and secondary storage.

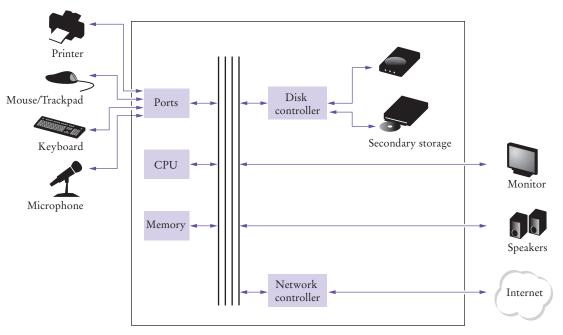


Figure 3 Schematic Design of a Personal Computer

To interact with a human user, a computer requires peripheral devices. The computer transmits information (called *output*) to the user through a display screen, speakers, and printers. The user can enter information (called *input*) for the computer by using a keyboard or a pointing device such as a mouse.

Some computers are self-contained units, whereas others are interconnected through **networks**. Through the network cabling, the computer can read data and programs from central storage locations or send data to other computers. To the user of a networked computer, it may not even be obvious which data reside on the computer itself and which are transmitted through the network.

Figure 3 gives a schematic overview of the architecture of a personal computer. Program instructions and data (such as text, numbers, audio, or video) are stored on the hard disk, on a compact disk (or DVD), or elsewhere on the network. When a program is started, it is brought into memory, where the CPU can read it. The CPU reads the program one instruction at a time. As directed by these instructions, the CPU reads data, modifies it, and writes it back to memory or the hard disk. Some program instructions will cause the CPU to place dots on the display screen or printer or to vibrate the speaker. As these actions happen many times over and at great speed, the human user will perceive images and sound. Some program instructions read user input from the keyboard or mouse. The program analyzes the nature of these inputs and then executes the next appropriate instruction.



- 4. Where is a program stored when it is not currently running?
- 5. Which part of the computer carries out arithmetic operations, such as addition and multiplication?

**Practice It** Now you can try these exercises at the end of the chapter: R1.2, R1.3.

### Computing & Society 1.1 Computers Are Everywhere

When computers were first invented in the 1940s, a computer filled an entire

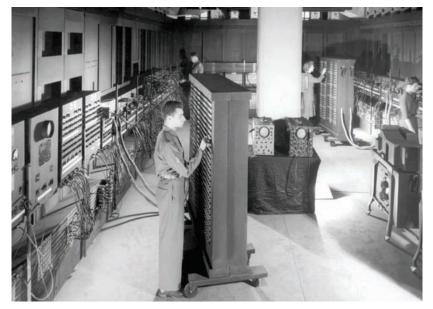
room. The photo below shows the ENIAC (electronic numerical integrator and computer), completed in 1946 at the University of Pennsylvania. The ENIAC was used by the military to compute the trajectories of projectiles. Nowadays, computing facilities of search engines, Internet shops, and social networks fill huge buildings called data centers. At the other end of the spectrum, computers are all around us. Your cell phone has a computer inside, as do many credit cards and fare cards for public transit. A modern car has several computers-to control the engine, brakes, lights, and the radio.

The advent of ubiquitous computing changed many aspects of our lives. Factories used to employ people to do repetitive assembly tasks that are today carried out by computercontrolled robots, operated by a few people who know how to work with those computers. Books, music, and movies nowadays are often consumed on computers, and computers are almost always involved



This transit card contains a computer.

in their production. The book that you are reading right now could



The ENIAC

not have been written without computers.

Knowing about computers and how to program them has become an essential skill in many careers. Engineers design computer-controlled cars and medical equipment that preserve lives. Computer scientists develop programs that help people come together to support social causes. For example, activists used social networks to share videos showing abuse by repressive regimes, and this information was instrumental in changing public opinion.

As computers, large and small, become ever more embedded in our everyday lives, it is increasingly important for everyone to understand how they work, and how to work with them. As you use this book to learn how to program a computer, you will develop a good understanding of computing fundamentals that will make you a more informed citizen and, perhaps, a computing professional.

## **1.3** The Python Programming Language

In order to write a computer program, you need to provide a sequence of instructions that the CPU can execute. A computer program consists of a large number of simple CPU instructions, and it is tedious and error-prone to specify them one by one. For that reason, **high-level programming languages** have been created. These languages

allow a programmer to specify the desired program actions at a high level. The high-level instructions are then automatically translated into the more detailed instructions required by the CPU.

In this book, we will use a high-level programming language called Python, which was developed in the early 1990s by Guido van Rossum. Van Rossum needed to carry out repetitive tasks for administering computer systems. He was dissatisfied with other available languages that were optimized for writing large and fast programs. He needed to write smaller programs that didn't have to run at optimum speed. It was important to him that he could author the programs quickly and update them quickly as his needs changed. Therefore, he designed a language that made it very easy to work with complex data. Python has evolved considerably since its beginnings. In this book, we use version 3 of the Python language. Van Rossum is still the principal author of the language, but the effort now includes many volunteers.



Python is portable and easy to learn and use. Python has become popular for business, scientific, and academic applications and is very suitable for the beginning programmer.

There are many reasons for the success of Python. Python has a much simpler and cleaner syntax than other popular languages such as Java, C, and C++, which makes it easier to learn. Moreover, you can try out short Python programs in an interactive environment, which encourages experimentation and rapid turnaround. Python is also very portable between computer systems. The same Python program will run, without change, on Windows, UNIX, Linux, or Macintosh.



6. Why don't you specify a program directly in CPU instructions?

7. What are the two most important benefits of the Python language?

**Practice It** Now you can try this exercise at the end of the chapter: R1.5.

## 1.4 Becoming Familiar with Your Programming Environment

Set aside some time to become familiar with the programming environment that you will use for your class work. Many students find that the tools they need as programmers are very different from the software with which they are familiar. You should spend some time making yourself familiar with your programming environment. Because computer systems vary widely, this book can only give an outline of the steps you need to follow. It is a good idea to participate in a hands-on lab, or to ask a knowledgeable friend to give you a tour. A text editor is a program for entering and modifying text, such as a Python program.

Python is case sensitive. You must be careful about distinguishing between upper- and lowercase letters.

#### Step 1 Start the Python development environment.

Computer systems differ greatly in this regard. On many computers there is an **integrated development environment** in which you can write and test your programs. On other computers you first launch a **text editor**, a program that functions like a word processor, in which you can enter your Python instructions; you then open a **terminal window** and type commands to execute your program. You need to find out how to get started with the development environment you will use to write code in Python.

Step 2 Write a simple program.

The traditional choice for the very first program in a new programming language is a program that displays a simple greeting: "Hello, World!". Let us follow that tradition. Here is the "Hello, World!" program in Python:

```
# My first Python program.
print("Hello, World!")
```

We will examine this program in the next section.

No matter which programming environment you use, you begin your activity by typing the program instructions into an editor window.

Create a new file and call it hello.py, using the steps that are appropriate for your environment. (If your environment requires that you supply a project name in addition to the file name, use the name hello for the project.) Enter the program instructions *exactly* as they are given above. Alternatively, locate the electronic copy in this book's companion code and paste it into your editor.

As you write this program, pay careful attention to the various symbols, and keep in mind that Python is **case sensitive**. You must enter upper- and lowercase letters exactly as they appear in the program listing. You cannot type Print or PRINT. If you are not careful, you will run into problems—see Common Error 1.1 on page 15.

Step 3 Run the program.

The process for running a program depends greatly on your programming environment. You may have to click a button or enter some commands. When you run the test program, the message

Hello, World!

will appear somewhere on the screen (see Figures 4 and 5).

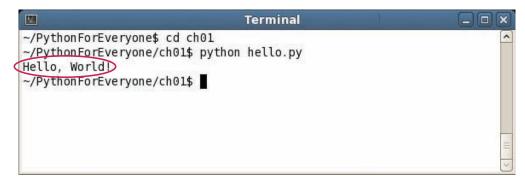
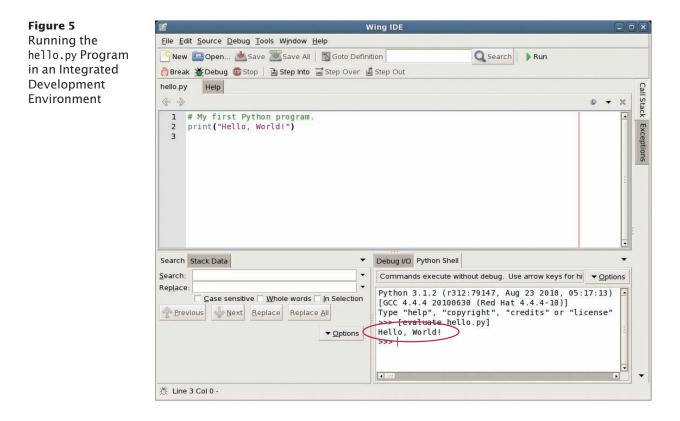


Figure 4 Running the hello.py Program in a Terminal Window

#### 8 Chapter 1 Introduction



The Python interpreter reads Python programs and executes the program instructions. A Python program is executed using the **Python interpreter**. The interpreter reads your program and executes all of its steps. (Special Topic 1.1 on page 10 explains in more detail what the Python interpreter does.) In some programming environments, the Python interpreter is automatically launched when you click on a "Run" button or select the "Run" option from a menu. In other environments, you have to launch the interpreter explicitly.

Step 4 Organize your work.

As a programmer, you write programs, try them out, and improve them. If you want to keep your programs, or turn them in for grading, you store them in **files**. A Python program can be stored in a file with any name, provided it ends with .py. For example, we can store our first program in a file named hello.py or welcome.py.

Files are stored in **folders** or **directories**. A folder can contain files as well as other folders, which themselves can contain more files and folders (see Figure 6). This hierarchy can be quite large, and you need not be concerned with all of its branches. However, you should create folders for organizing your work. It is a good idea to make a separate folder for your programming class. Inside that folder, make a separate folder for each program.

Some programming environments place your programs into a default location if you don't specify a folder. In that case, you need to find out where those files are located.

Be sure that you understand where your files are located in the folder hierarchy. This information is essential when you submit files for grading, and for making backup copies (see Programming Tip 1.2 on page 10).





- 8. Where is the hello.py file stored on your computer?
- 9. What do you do to protect yourself from data loss when you work on programming projects?

**Practice It** Now you can try this exercise at the end of the chapter: R1.6.

Programming Tip 1.1

#### **Interactive Mode**

When you write a complete program, you place the program instructions in a file and let the Python interpreter execute your program file. The interpreter, however, also provides an interactive mode in which Python instructions can be entered one at a time. To launch the Python interactive mode from a terminal window, enter the command

python

(On systems where multiple versions of Python are installed, use the command python3 to run version 3 of Python.) Interactive mode can also be started from within most Python integrated development environments.

The interface for working in interactive mode is known as the **Python shell**. First, you will see an informational message similar to the following:

```
Python 3.1.2 (r312:79147, Aug 23 2010, 05:17:13)
[GCC 4.4.4 20100630 (Red Hat 4.4.4-10)] on linux2
Type "help", "copyright", "credits" or "license" for more information.
>>>
```

The >>> at the bottom of the output is the **prompt**. It indicates that you can enter Python instructions. After you type an instruction and press the Enter key, the code is immediately executed by the Python interpreter. For example, if you enter

```
print("Hello, World!")
```

the interpreter will respond by executing the print function and displaying the output, followed by another prompt:

```
>>> print("Hello, World!")
Hello World
>>>
```

Interactive mode is very useful when you are first learning to program. It allows you to experiment and test individual Python instructions to see what happens. You can also use interactive mode as a simple calculator. Just enter mathematical expressions using Python syntax:

```
>>> 7035 * 0.15
1055.25
>>>
```

Make it a habit to use interactive mode as you experiment with new language constructs.